

SOP: Observation of Consent Process		
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**1. PURPOSE**

- 1.1. This procedure establishes the process to observe the consent process.
- 1.2. The process begins when the IRB determines that the consent process should be observed.
- 1.3. The process ends when the IRB determines that the consent process no longer should be observed.

**2. REVISIONS FROM PREVIOUS VERSION**

- 2.1. None

**3. POLICY**

- 3.1. The IRB may consider observation of the consent process when:
  - 3.1.1. The IRB wants verification from sources other than the investigator that no material changes have taken place since prior IRB review.
  - 3.1.2. There are Allegations or Findings of Non-Compliance or as a condition of probation.
  - 3.1.3. The nature of the research indicates that the consent process can be improved through observation.
  - 3.1.4. The IRB wants assurance that the consent process is suitable given the complexity or level of risk of the research.
- 3.2. The IRB, IO, or designee designates who conducts the observation. The IRB may have the observation conducted by:
  - 3.2.1. IRB /HSPP staff.
  - 3.2.2. IRB members.
  - 3.2.3. A person recommended by an investigator or consultant familiar with the topic under study.
  - 3.2.4. An independent person hired by the IRB.

**4. RESPONSIBILITIES**

- 4.1. The person designated to conduct the observation of the consent process carries out these procedures.

**5. PROCEDURE**

- 5.1. Observe the consent process and determine whether the information in the consent document and any other written information was accurately explained to, and apparently understood by, the subject or the subject’s Legally Authorized Representative (LAR), and that informed consent was freely given by the subject or the LAR.
  - 5.1.1. If no, indicate that consent is not legally effective and the prospective subject may not be entered into the research.
  - 5.1.2. If yes, document in writing that the consent process was observed and that informed consent was freely given by the subject or legally authorized representative.

**6. MATERIALS**

- 6.1. None

**7. REFERENCES**

- 7.1. None